



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,297	04/12/2001	Jeffrey Bluestone	GNN-014CP

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109



CONFIRMATION NO. 4765

## FORMALITIES LETTER



\*OC000000006244534\*

Date Mailed: 06/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$746.
  - \$396 for 22 total claims over 20.
  - \$80 for 1 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1586.

02/20/2002 MN0000016 09835297

03 FC:101	740.00 OP
04 FC:103	396.00 OP
05 FC:102	84.00 OP
06 FC:105	130.00 OP
07 FC:104	280.00 OP

Copy of this notice **MUST** be returned with the reply.

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED LAHIVE & COCKFIELD DOCKET DEPT.  JUL 05 2001  RETRIEVED: _____ FORWARDED: _____
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Part 7

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

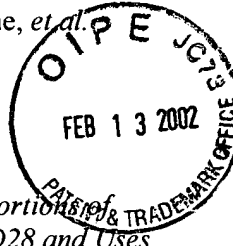
In re the application of: Jeffrey Bluestone, *et al.*

Serial No.: 09/835,297

Filed: April 12, 2001

For: *Surface-Bound Antigen Binding Portions of  
Antibodies That Bind to CTLA-4 and CD28 and Uses  
Therefor*

Attorney Docket No.: GNN-0014CP



Group Art Unit: 1645

Examiner: Not Yet Assigned

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**FEB 22 2002**

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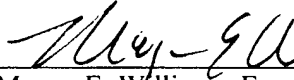
**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

January 29, 2002

Date of Signature and of Mail Deposit

By:

  
Megan E. Williams, Esq.  
Reg. No. 43,270  
Attorney for Applicants

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION**

Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated June 29, 2001, Applicants' attorney submits herewith three (3) executed Declaration, Petition and Powers of Attorney for the above-identified patent application. A check in the amount of \$1,630.00 is enclosed to cover the total amount owed by Applicant, including \$130.00 surcharge, \$740.00 basic filing fee, \$480.00 additional claims fee, and \$280.00 multiple dependent

claim surcharge based on large entity status. A copy of the Formalities Letter is also enclosed.

Further enclosed in response to said Notice to File Missing Parts are seven (7) sheets of substituted drawings in compliance with 37 CFR 1.84 (Figs. 1A-7).


Finally, Applicants' file herewith a Petition Pursuant to 37 C.F.R. §1.47(a) and an Affidavit of Megan E. Williams in Support of Petition Pursuant to 37 C.F.R. §1.47(a) with Appendices A-J.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



Megan E. Williams, Esq.  
Registration No. 43,270  
Attorney for Applicant

LAHIVE & COCKFIELD, LLP  
28 State Street  
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Tel. (617) 227-7400

Dated: January 29, 2002

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\* Admitted in NY only  
\*\* Passed the Patent Bar Examination  
\*\*\* Admitted in TX only

January 29, 2002

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231



Re: U.S. Patent Application Serial No. 09/835,297  
Applicants: Bluestone, *et al.*  
Filed: April 12, 2001  
Title: *Surface-Bound Antigen Binding Portions of Antibodies That Bind to CTLA-4 and CD28 and Uses Therefor*  
Attorney Docket No.: GNN-014CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Petition Pursuant to 37 C.F.R. §1.47(a);
2. Affidavit by Megan E. Williams In Support of Petition Pursuant to 37 C.F.R. §1.47(a) (with Appendices A-J);
3. Response to Notice to File Missing Parts of Non-Provisional Application (in duplicate);
4. Request for Five-Month Extension of Time (in duplicate);
5. Copy of Formalities Letter;
6. Three executed Declaration, Petition and Powers of Attorney for Patent Application (24 pgs);
7. Seven (7) sheets of substituted drawings (Figs. 1A-7) with indicia;
8. Check for \$1,960.00 for 5-month extension fee;
9. Check for \$1,630.00 for filing fee and surcharge;
10. Check for \$130.00 for Petition Fee; and
11. Acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any further extensions of time necessary to respond. A duplicate of this sheet is enclosed.

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231 on:

January 29, 2002

Date

Megan E. Williams, Esq., Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Megan E. Williams, Esq.  
Reg. No. 43,270  
Attorney for Applicant

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